Computer Graphics

9 - Orientation & Rotation

Yoonsang Lee Hanyang University

Spring 2023

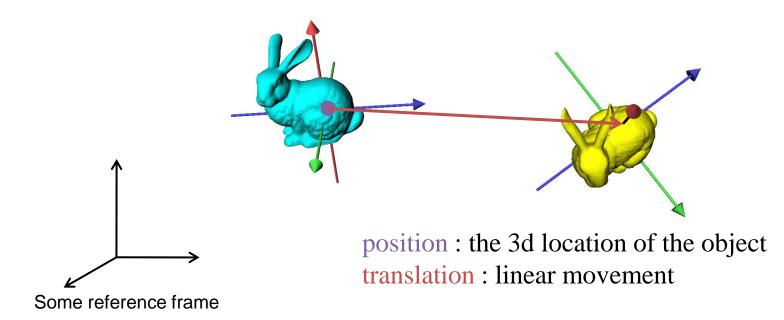
Outline

- Basic Concepts
 - Orientation vs. Rotation
 - Degrees of Freedom
 - Euler's Rotation Theorem
- 3D Orientation & Rotation Representations
 - Euler Angles
 - Rotation Vector (Axis-Angle)
 - Rotation Matrix
 - Unit Quaternion
- Which Representation to Use?
 - Consideration from Several Perspectives
 - Interpolation of 3D Orientation / Rotations
 - Pros & Cons of Each Representation

Basic Concepts

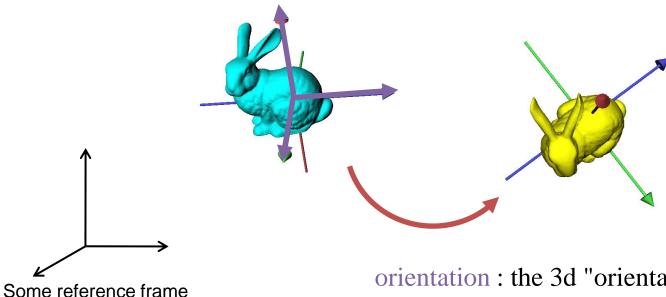
State vs. Movement

• (position : translation)



State vs. Movement

- (position : translation)
- (orientation : rotation)
- \rightarrow (state : movement)



orientation : the 3d "orientation" of the object rotation : angular movement

Orientation vs. Rotation (and Position vs. Translation)

- **Orientation** & Position *state*
 - Position: The state of being located.
 - Given a coordinate system, the position of an object can be represented as a translation from a reference position.
 - **Orientation**: The state of being oriented. (angular position)
 - Given a coordinate system, the orientation of an object can be represented as a rotation from a reference orientation.
- **Rotation** & Translation *movement*
 - Translation: Linear movement (difference btwn. positions)
 - Rotation: Angular movement (difference btwn. orientations)
- This relationship is analogous to *point* vs. *vector*.
 - point: position
 - vector: difference between two points

Similarity in Operations

- Point & vector
 - (point) + (point) \rightarrow (UNDEFINED)
 - (vector) \pm (vector) \rightarrow (vector)
 - (point) \pm (vector) \rightarrow (point)
 - (point) (point) \rightarrow (vector)
- Orientation & rotation
 - (orientation) (+) (orientation) \rightarrow (UNDEFINED)
 - (rotation) (\pm) (rotation) \rightarrow (rotation)
 - (orientation) (\pm) (rotation) \rightarrow (orientation)
 - (orientation) (-) (orientation) \rightarrow (rotation)

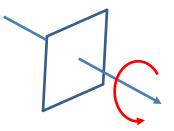
Not vector addition & subtraction

Degrees of Freedom (DOF)

• The number of **independent parameters** that define **a unique configuration**



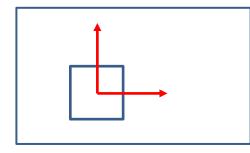
Translation along one direction : 1 DOF



Rotation about an axis

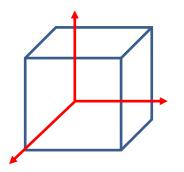
: 1 DOF

Degrees of Freedom (DOF)



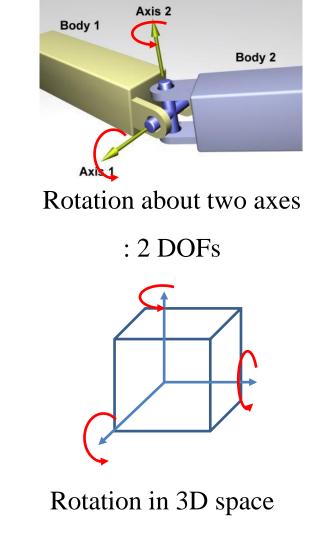
Translation on a plane

: 2 DOFs



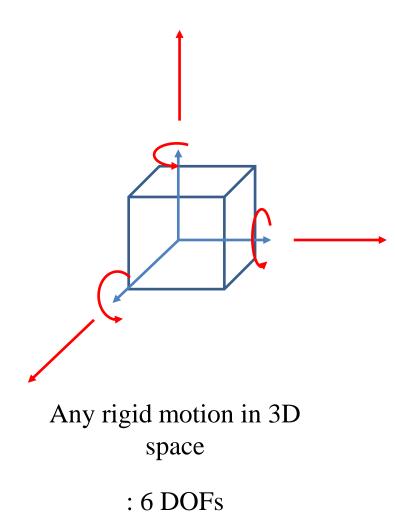
Translation in 3D space

: 3 DOFs



: 3 DOFs

Degrees of Freedom (DOF)



Euler's Rotation Theorem

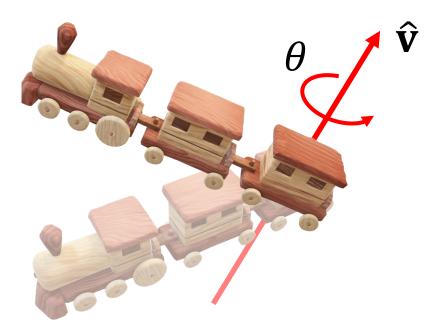
• **Theorem.** When a sphere is moved around its centre it is always possible to find a diameter whose direction in the displaced position is the same as in the initial position.

- Leonhard Euler (1707-1783)

 → In 3D space, any displacement of a rigid body such that a point on the rigid body remains fixed, is equivalent to a single rotation about some axis that runs through the fixed point.

Euler's Rotation Theorem

→ For any 3D rotation (any movement with one point fixed), we can always find a fixed **axis** of rotation and an **angle** about the axis.



3D Orientation & Rotation Representations

Describing 3D Rotation & Orientation

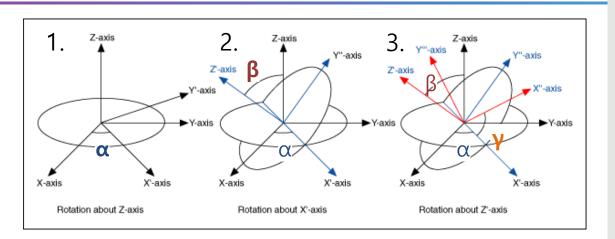
• Describing 3D rotation & orientation is not as intuitive as the 2D case.

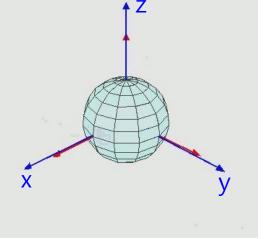
- Several ways to describe 3D rotation and orientation
 - Euler angles
 - Rotation vector (Axis-angle)
 - Rotation matrices
 - Unit quaternions

Euler Angles

- Express any arbitrary 3D rotation using **three rotation angles about three principle axes.**
- Possible 12 combinations
 - XYZ, XYX, XZY, XZX
 - YZX, YZY, YXZ, YXY
 - ZXY, ZXZ, ZYX, ZYZ
 - (Combination is possible as long as the same axis does not appear consecutively.)

Example: ZXZ Euler Angles





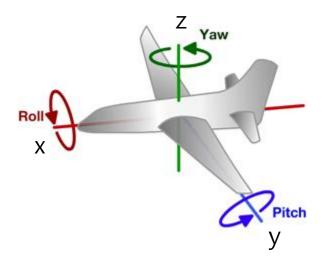
• 1. Rotate about Z-axis by α

https://commons.wikimedia.org/w iki/File:Euler2a.gif

- 2. Rotate about X-axis of the new frame by β
- 3. Rotate about Z-axis of the new frame by γ

$$\mathsf{R} = \begin{bmatrix} \cos \alpha & -\sin \alpha & 0\\ \sin \alpha & \cos \alpha & 0\\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0\\ 0 & \cos \beta & -\sin \beta\\ 0 & \sin \beta & \cos \beta \end{bmatrix} \begin{bmatrix} \cos \gamma & -\sin \gamma & 0\\ \sin \gamma & \cos \gamma & 0\\ 0 & 0 & 1 \end{bmatrix}$$
$$\mathsf{R} = \mathsf{R}_{\mathsf{Z}}(\alpha) \qquad \mathsf{R}_{\mathsf{X}}(\beta) \qquad \mathsf{R}_{\mathsf{Z}}(\gamma)$$

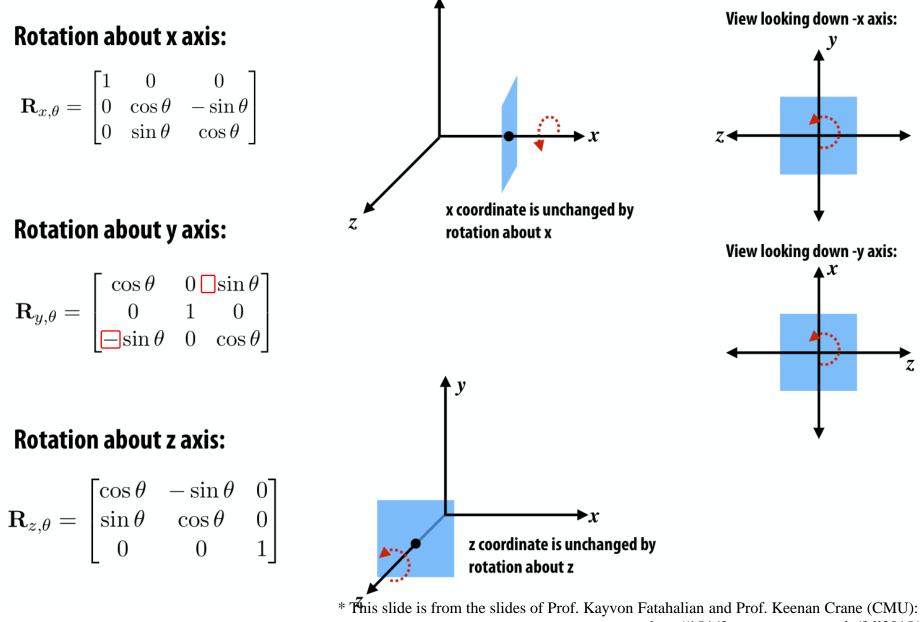
Example: Yaw-Pitch-Roll Convention (**ZYX Euler Angles**)



- Common for describing the orientation of aircrafts
- 1. Rotate about Z-axis by yaw angle
- 2. Rotate about Y-axis of the new frame by pitch angle
- 3. Rotate about X-axis of the new frame by roll angle

$R = R_z(yaw) R_y(pitch) R_x(roll)$

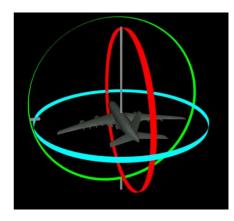
Recall: 3D Rotation Matrix about Principle Axes



http://15462.courses.cs.cmu.edu/fall2015/

Gimbal Lock

• Euler angles temporarily lose a DOF when the two axes are aligned.

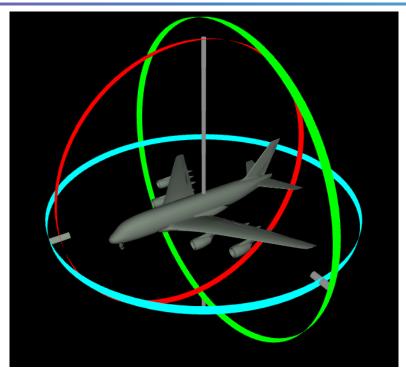


Normal configuration: The object can rotate freely.



Gimbal lock configuration: The object can not rotate in one direction.

[Demo] Euler Angles



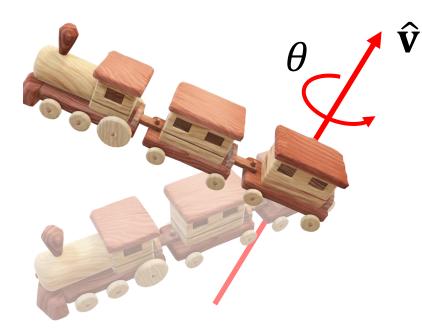
https://compsci290-s2016.github.io/CoursePage/Materials/EulerAnglesViz/index.html

- Try changing yaw, pitch, roll angles.
- Try making gimbal lock by aligning two of three rotation axes.
 - ex) setting pitch to 90 degreess

Quiz 1

- Go to <u>https://www.slido.com/</u>
- Join #cg-ys
- Click "Polls"
- Submit your answer in the following format:
 - Student ID: Your answer
 - e.g. 2021123456: 4.0
- Note that your quiz answer must be submitted in the above format to receive a quiz score!

Rotation Vector (Axis-Angle)



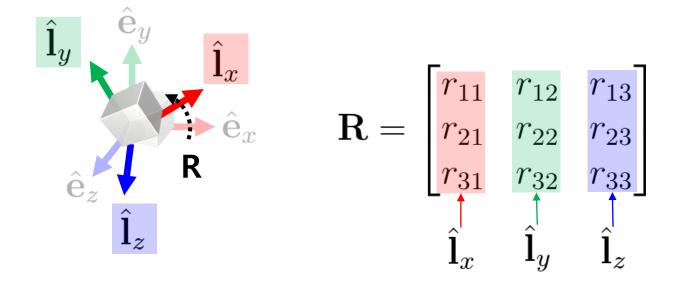
 $\hat{\mathbf{v}}$: rotation axis (unit vector) θ : scalar angle

- Rotation vector: $\mathbf{v} = \theta \ \hat{\mathbf{v}} = (x, y, z)$
- Axis-Angle: $(\theta, \hat{\mathbf{v}})$

Rotation Vector (Axis-Angle)

- Rotation vector is also known as *exponential coordinates* for rotation.
 - If you are curious about why it was called that way, please refer:
 - Section 3.2.3 of "Modern Robotics": <u>http://hades.mech.northwestern.edu/images/2/25/MR-v2.pdf</u>

Rotation Matrix



- A rotation matrix defines
 - Orientation of new rotated frame or,
 - Rotation from the world frame to be that rotated frame

Rotation Matrix

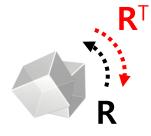
• A square matrix \mathbf{R} is a rotation matrix if and only if

1.
$$\mathbf{R}\mathbf{R}^T = \mathbf{R}^T\mathbf{R} = \mathbf{I}$$
 as $\mathbf{2}$. $\det(\mathbf{R}) = 1$

- A rotation matrix is an **orthogonal matrix with determinant 1**.
 - Sometimes it is called *special orthogonal matrix*
 - A set of rotation matrices of size 3 forms a *special* orthogonal group in 3D, SO(3)

Geometric Properties of Rotation Matrix

- \mathbf{R}^{T} is an inverse rotation of \mathbf{R} .
 - Because, $\mathbf{R}\mathbf{R}^T = \mathbf{I} \iff \mathbf{R}^{-1} = \mathbf{R}^T$



- $\mathbf{R}_1 \mathbf{R}_2$ is a rotation matrix as well (composite rotation). - proof) $(\mathbf{R}_1 \mathbf{R}_2)^T (\mathbf{R}_1 \mathbf{R}_2) = \mathbf{R}_2^T \mathbf{R}_1^T \mathbf{R}_1 \mathbf{R}_2 = \mathbf{R}_2^T \mathbf{R}_2 = \mathbf{I}$ and $\det(\mathbf{R}_1 \mathbf{R}_2) = \det(\mathbf{R}_1) \cdot \det(\mathbf{R}_2) = 1$
- The length of vector **v** is not changed after applying a rotation matrix **R**.

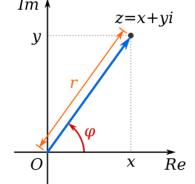
- proof)
$$\|\mathbf{R}\mathbf{v}\|^2 = (\mathbf{R}\mathbf{v})^T (\mathbf{R}\mathbf{v}) = \mathbf{v}^T \mathbf{R}^T \mathbf{R}\mathbf{v} = \mathbf{v}^T \mathbf{v} = \|\mathbf{v}\|^2$$

 \mathbf{v}^T
 $\|\mathbf{v}^T\| = \mathbf{v} \cdot \mathbf{v}$

Quaternions

• Complex numbers can be used to represent 2D rotations. $Im \uparrow z=x+yi$

•
$$z = x + yi$$
, where $i^2 = -1$
- $z = x + yi = r \cos \varphi + i r \sin \varphi$



- Basic idea: Quaternion is its extension to 3D space.
- q = w + xi + yj + zk
- , where $i^2 = j^2 = k^2 = ijk = -1$ ij = k, jk = i, ki = jji = -k, kj = -i, ik = -j

Quaternions

•
$$q = w + xi + yj + zk$$

- w is called a *real part* (or *scalar part*).
- xi + yj + zk is called an *imaginary part* (or *vector part*).

• Notation:

$$q = w + xi + yj + zk$$

= (w, x, y, z)
= (w, v)

Unit Quaternions

• <u>Unit quaternions represent 3D rotations:</u>

$$- q = w + ix + jy + kz,$$

- where $w^{2} + x^{2} + v^{2} + z^{2} =$

- Just like z = x + yi, where $x^2 + y^2 = 1$ represents 2D rotation $(z = \cos \varphi + i \sin \varphi)$.

Im

У

z = x + vi

x

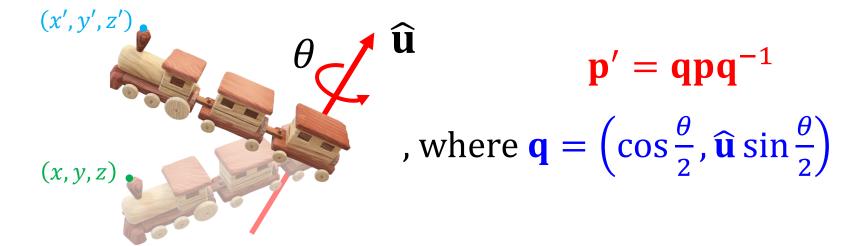
• Rotation about axis $\hat{\mathbf{u}}$ by angle θ :

 $\widehat{\mathbf{u}} = \left(\cos\frac{\theta}{2}, \widehat{\mathbf{u}}\sin\frac{\theta}{2}\right)$ q = w + xi + yj + zk= (w, x, y, z) $= (w, \mathbf{v})$

Unit Quaternions

A 3D position (x, y, z) is represented as a *pure imaginary quaternion* (0, x, y, z).

• If $\mathbf{p} = (0, x, y, z)$ is rotated about axis $\hat{\mathbf{u}}$ by angle θ , then the rotated position $\mathbf{p}' = (0, x', y', z')$ is:



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Unit Quaternions

Identity	$\mathbf{q} = (1,0,0,0)$
Multiplication	$\mathbf{q}_1 \mathbf{q}_2 = (w_1, \mathbf{v}_1)(w_2, \mathbf{v}_2) = (w_1 w_2 - \mathbf{v}_1 \cdot \mathbf{v}_2, w_1 \mathbf{v}_2 + w_2 \mathbf{v}_1 + \mathbf{v}_1 \times \mathbf{v}_2)$
Inverse (Conjugate)	$\mathbf{q}^{-1} = (w, -x, -y, -z)$

q₁q₂: rotate by q₁ then q₂ w.r.t. body frame
or rotate by q₂ then q₁ w.r.t. world frame

•
$$\mathbf{p}' = \mathbf{q}_1 \mathbf{q}_2 \mathbf{p}(\mathbf{q}_1 \mathbf{q}_2)^{-1} = \mathbf{q}_1(\mathbf{q}_2 \mathbf{p} \mathbf{q}_2^{-1}) \mathbf{q}_1^{-1}$$

Quiz 2

- Go to <u>https://www.slido.com/</u>
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Which Representation to Use?

Which Representation to Use?

• Let's consider each representation from the following four perspectives:

- 1) "Addition" of rotations
- 2) "Subtraction" of rotations
- 3) Interpolation of rotations
- 4) Continuity / correspondence in each representation

1) "Addition" of Rotations

- **✓** Rotation matrix, Unit quaternion:
 - $\mathbf{R}_1 \mathbf{R}_2, \mathbf{q}_1 \mathbf{q}_2$
 - Rotate by \mathbf{R}_1 (or \mathbf{q}_1), then by \mathbf{R}_2 (or \mathbf{q}_2) w.r.t. (current) body frame.
 - (Element-wise addition does NOT even produce a rotation matrix or unit quaternion.)
- X Euler angles:
 - $(\alpha_1, \beta_1, \gamma_1) + (\alpha_2, \beta_2, \gamma_2) = (\alpha_1 + \alpha_2, \beta_1 + \beta_2, \gamma_1 + \gamma_2)?$
 - Does **NOT** mean rotate by $(\alpha_1, \beta_1, \gamma_1)$, then by $(\alpha_2, \beta_2, \gamma_2)$.
- X Rotation vector:
 - $\mathbf{v}_1 + \mathbf{v}_2$?
 - Does **NOT** mean rotate by \mathbf{v}_1 , then by \mathbf{v}_2 .

2) "Subtraction" of Rotations

- **√** Rotation matrix, Unit quaternion:
 - $\mathbf{R}_{1}^{T}\mathbf{R}_{2}, \mathbf{q}_{1}^{-1}\mathbf{q}_{2}$
 - Rotational difference: A rotation matrix that rotate a frame \mathbf{R}_1 (or \mathbf{q}_1) to be coincident with the frame \mathbf{R}_2 (or \mathbf{q}_2) when applied w.r.t. the frame \mathbf{R}_1 (or \mathbf{q}_1)
 - Because $\mathbf{R}_1(\mathbf{R}_1^T \mathbf{R}_2) = \mathbf{R}_2$
 - (Element-wise subtraction does NOT even produce a rotation matrix or unit quaternion.)
- X Euler angles:
 - $(\alpha_2, \beta_2, \gamma_2) (\alpha_1, \beta_1, \gamma_1) = (\alpha_2 \alpha_1, \beta_2 \beta_1, \gamma_2 \gamma_1)?$
 - Does **NOT** mean difference between rotation $(\alpha_1, \beta_1, \gamma_1)$ and $(\alpha_2, \beta_2, \gamma_2)$.
- X Rotation vector:
 - $v_2 v_1$?
 - Does **NOT** mean difference between rotation \mathbf{v}_1 and \mathbf{v}_2 .

3) Interpolation of Rotations

- Can we just linear interpolate each element of
 - Euler angles
 - Rotation vector
 - Rotation matrix
 - Unit quaternion
- ?

• \rightarrow No!

Interpolating Each Element of Rotation Matrices / Unit Quaternions?

• Let's try to interpolate \mathbf{R}_0 (identity) and \mathbf{R}_1 (rotation by 90° about x-axis).

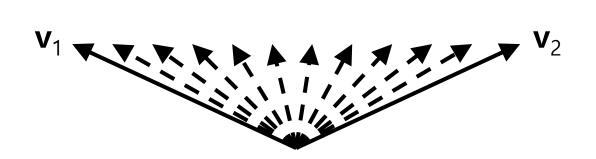
$$\operatorname{lerp}\left(\begin{bmatrix}1 & 0 & 0\\0 & 1 & 0\\0 & 0 & 1\end{bmatrix}, \begin{bmatrix}1 & 0 & 0\\0 & 0 & -1\\0 & 1 & 0\end{bmatrix}, 0.5\right) = \begin{bmatrix}1 & 0 & 0\\0 & 0.5 & -0.5\\0 & 0.5 & 0.5\end{bmatrix}$$

is not a rotation matrix!
does not make sense at all!

• Similarly, interpolating each number (w, x, y, z) in unit quaternions does not make sense.

Interpolating Rotation Vectors?

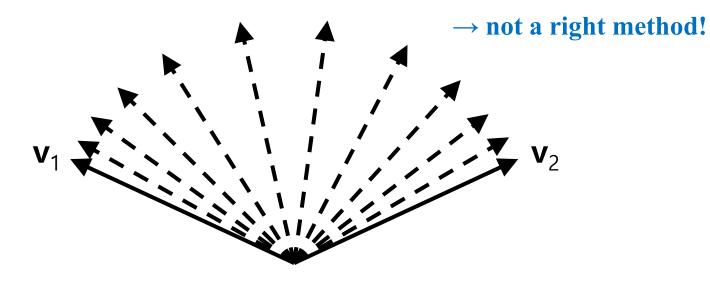
- Let's say we have two rotation vectors v₁ & v₂ of the same length
- Linear interpolation of $\mathbf{v}_1 \& \mathbf{v}_2$ produces even spacing



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Interpolating Rotation Vectors?

- Let's say we have two rotation vectors v₁ & v₂ of the same length.
- Linear interpolation of $\mathbf{v}_1 \& \mathbf{v}_2$ produces even spacing.
- But it's not evenly spaced in terms of orientation!

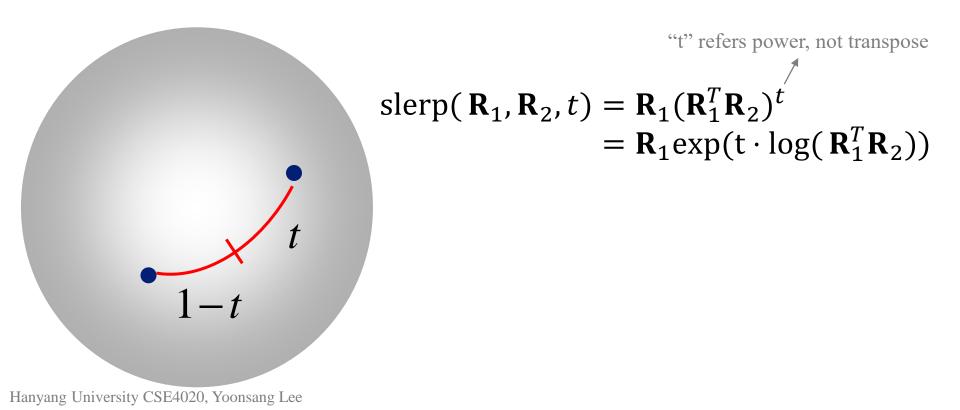


Interpolating Euler Angles?

- Interpolating two tuples of Euler angles does not provide correct result.
 - + angular velocity is not constant
 - + still suffer from gimbal lock: jerky movement occurs near gimbal lock configuration

Slerp

- The right answer: Slerp [Shoemake 1985]
 - Spherical linear interpolation
 - Linear interpolation of two orientations



Slerp with Rotation Matrices

- slerp($\mathbf{R}_1, \mathbf{R}_2, t$) = $\mathbf{R}_1 (\mathbf{R}_1^T \mathbf{R}_2)^t$
- Implication
 - $\mathbf{R}_1^T \mathbf{R}_2$: difference between orientation \mathbf{R}_1 and \mathbf{R}_2 ($\mathbf{R}_2(-)\mathbf{R}_1$)
 - **R**^t : scaling rotation (scaling rotation angle)
 - $\mathbf{R}_{a}\mathbf{R}_{b}$: add rotation \mathbf{R}_{b} to orientation \mathbf{R}_{a} ($\mathbf{R}_{a}(+)\mathbf{R}_{b}$)
- slerp($\mathbf{R}_1, \mathbf{R}_2, t$) = $\mathbf{R}_1 (\mathbf{R}_1^T \mathbf{R}_2)^t$ = $\mathbf{R}_1 \exp(t \cdot \log(\mathbf{R}_1^T \mathbf{R}_2))$
 - exp(): rotation vector to rotation matrix
 - log(): rotation matrix to rotation vector

Exp & Log

• Exp (exponential): rotation vector to rotation matrix

- Given normalized rotation axis $u=(u_x, u_y, u_z)$, rotation angle θ

 $R = \begin{bmatrix} \cos\theta + u_x^2 \left(1 - \cos\theta\right) & u_x u_y \left(1 - \cos\theta\right) - u_z \sin\theta & u_x u_z \left(1 - \cos\theta\right) + u_y \sin\theta \\ u_y u_x \left(1 - \cos\theta\right) + u_z \sin\theta & \cos\theta + u_y^2 \left(1 - \cos\theta\right) & u_y u_z \left(1 - \cos\theta\right) - u_x \sin\theta \\ u_z u_x \left(1 - \cos\theta\right) - u_y \sin\theta & u_z u_y \left(1 - \cos\theta\right) + u_x \sin\theta & \cos\theta + u_z^2 \left(1 - \cos\theta\right) \end{bmatrix}$ (Rodrigues' rotation formula)

- Log (logarithm): rotation matrix to rotation vector
 - Given rotation matrix **R**, compute axis **v** and angle θ :

$$\begin{array}{l} \theta = \cos^{-1}((R_{11} + R_{22} + R_{33} - 1)/2) \\ v_1 = (R_{32} - R_{23})/(2\sin\theta) \\ v_2 = (R_{13} - R_{31})/(2\sin\theta) \\ v_3 = (R_{21} - R_{12})/(2\sin\theta) \end{array} + \text{ some algorithm to avoid singularity} \\ at \ \theta = k\pi, \text{ where } k \text{ is an integer.} \end{array}$$

- No need to try to memorize these formulas!
- For detail, please refer Section 3.2.3 of "Modern Robotics": <u>http://hades.mech.northwestern.edu/images/2/25/MR-v2.pdf</u>

Exp & Log

- Practical note:
 - For exp() and log(), you can use the functions provided by libraries such as pyglm, scipy (python), and Eigen (c++).
 - Today's lab uses pyglm for this.
 - You can implement your own exp() and log() if you wish. Implementation is not too difficult.

Slerp with Quaternions

- Quaternion slerp:
 - slerp($\mathbf{q}_1, \mathbf{q}_2, t$) = $\mathbf{q}_1(\mathbf{q}_1^{-1}\mathbf{q}_2)^t$
- Geometric slerp (equivalent):

- slerp(
$$\mathbf{q}_1, \mathbf{q}_2, t$$
) = $\frac{\sin((1-t)\varphi)}{\sin\varphi} \mathbf{q}_1 + \frac{\sin(t\varphi)}{\sin\varphi} \mathbf{q}_2$

• φ : the angle subtended by the arc ($\cos \varphi = \mathbf{q}_1 \cdot \mathbf{q}_2$)

- No slerp for Euler angles or rotation vector representation!
 - They need to be converted to rotation matrices or unit quaternions to be *slerp*ed.

Comparison of Interpolation Methods

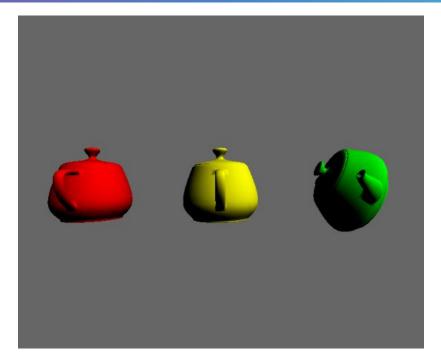
- Start orientation (ZYX Euler angles): Rz(-90) Ry(90) Rx(0)
- End orientation (ZYX Euler angles): Rz(0) Ry(0) Rx(90)

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Interpolation betwee	n rotation	vecto	NTH
			15
			10

https://youtu.be/ Y02MAWKmfGU

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[Demo] Slerp



https://nccastaff.bournemouth.ac.uk/jmacey/WebGL/QuatSlerp/

- Try changing "Start Rotation" & "End Rotation"
- Try moving "Interpolate" slider

Quiz 3

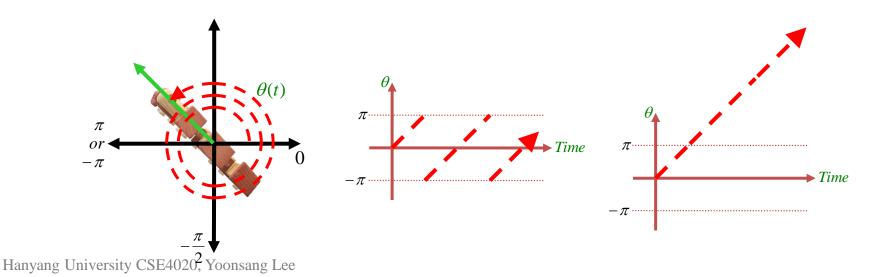
- Go to <u>https://www.slido.com/</u>
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3) Interpolation of Rotations: Summary

- **A** Rotation matrix, Unit quaternion:
 - slerp(\mathbf{R}_1 , \mathbf{R}_2 , t), slerp(\mathbf{q}_1 , \mathbf{q}_2 , t)
 - (Element-wise interpolation does NOT even produce a rotation matrix or unit quaternion.)
- X Euler angles:
 - lerp($(\alpha_1, \beta_1, \gamma_1), (\alpha_2, \beta_2, \gamma_2)$)?
 - Does **NOT** mean linear interpolation between two rotations
- X Rotation vector:
 - $lerp(v_1, v_2)?$
 - Does NOT mean linear interpolation between two rotations

4) Continuity / Correspondence

- X Euler angles, Rotation vector:
 - Use 3 parameters to express 3 DOFs orientations / rotations.
 - Due to this characteristic, they have the following problems:
 - Discontinuity
 - The representation of continuous orientations may have discontinuities.
 - Many-to-one mapping
 - One orientation can be mapped to many representations



4) Continuity / Correspondence

- \triangle Unit quaternion:
 - Use 4 parameters
 - Continuous representation
 - Two-to-one mapping
 - Any **q** and **-q** always represent the same rotation.
 - This property is called *antipodal equivalence*.
- **✓** Rotation matrix:
 - Use 9 parameters
 - Continuous one-to-one mapping

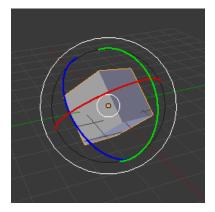
Again: Which Representation to Use?

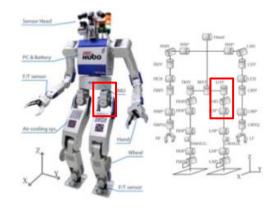
- General advice:
 - Use rotation matrices or unit quaternions.

- More advanced advice:
 - Don't stick to just one representation. Each has its pros and cons.
 - To take advantage of a different representation, you can convert your rotational data to another representation and back to the original representation.

Pros & Cons of Euler Angles

- Vot provide accurate "addition", "subtraction", and interpolation operations
- **V** Discontinuity / Many-to-one correspondence
- **V** Gimbal lock
- **A** Intuitive way for manipulating orientations in 3D tools
- Can be used to represent the state of a hardware implementation of a ball joints using three perpendicular hinge joints





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Pros & Cons of Rotation Vector

- Not provide accurate "addition", "subtraction", and interpolation operations
- **V** Discontinuity / Many-to-one correspondence

- **A** Good for visualizing a "rotation"
 - It gives a direct sense of rotation axis and angle.
- A Most compact representation using 3 parameters
 - Euler angles too, but it has gimbal lock issues.

Pros & Cons of Rotation Matrix

- A Provides accurate "addition", "subtraction", and interpolation operations
- **A** Continuous one-to-one mapping (very nice)
- Good for visualizing "orientation"
 - You can easily visualize an orientation by drawing the frame with its x, y, z axes using the three columns of the rotation matrix.
- A Can be easily extended to a 4x4 affine transformation matrix to handle rotation and translation in a uniform way
- **V** Many (9) parameters (storage)
- Computationally slower and numerically less stable than unit quaternions (but not much)

Pros & Cons of Unit Quaternion

- A Provides accurate "addition", "subtraction", and interpolation operations
- Continuous representation
- **A** Only 4 parameters
- Computationally faster and numerically more stable than rotation matrices
- **Two-to-one mapping** (*antipodal equivalence*)
- **V** Less intuitive number system

Conversion between Representations

- There are well-established theories for conversion between
 - Euler angles
 - Rotation vector
 - Rotation matrix
 - Unit quaternion.
- You can use libraries such as pyglm, scipy (python) or Eigen (c++) for conversion between these representation.
 – pyglm only provides some of these conversions.
- You can implement your own conversion code if you wish, referring to these theories (by gooling, etc).

Lab Session

• Now let's start the lab session.